

Summary of Applicable Documents to Retail Investors

Below is the list of documents that **retail investors** need to submit to their respective Selling Agents in addition to other documents (e.g. Know-Your-Customer documents, account opening forms, etc.) that the Selling Agents may require.

	Placement Option		Remarks
	Straight US Dollar	Peso Clear	
1.	Annex A-5: Application to Purchase Foreign Currency (Selling Agent banks may use their respective ATP FX forms)	Annex A-5: Application to Purchase Foreign Currency (Selling Agent banks may use their respective ATP FX forms)	<p>If submitted via the official online channel of the Selling Agent, the form may be signed digitally/electronically.</p> <p>If the form is electronically generated and submitted, the signature of the applicant may be dispensed with.</p>
2.	Annex C-1: Investor's Undertaking	Annex C-1: Investor's Undertaking	These documents may be signed and submitted electronically. Vetting of documents shall be the responsibility of the Selling Agent.
3.	Annex C-2: Authorization	Annex C-2: Authorization	
4.	---	Annex C-3: Investor's Acknowledgment and Instructions	